



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lian, *et al.*

Docket No.: 00 P 9119 US

Serial No.: 09/751,551

Art Unit: 2815

Filed: 12/28/2000

Examiner: Edgardo Ortiz

For: Multi-Layer Pt Electrode For DRAM and FRAM with High K Dielectric Materials

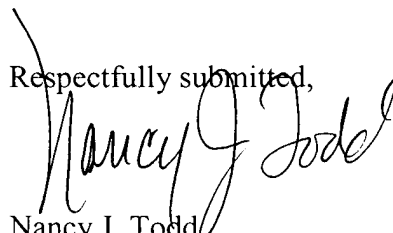
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Date of Deposit: February 4, 2003

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, DC 20231.

Certificate of Mailing via First Class Mail (1 page)
Information Disclosure Statement (1 original and 1 copy)
Statement Pursuant to 37 CFR §1.97(e) (1 page)
Copy of International Search Report for PCT/US 01/43904 (4 pages)
IDS Form 1449 (1 page) with copies of (7) cited references
2 Return Postcards

Respectfully submitted,


Nancy J. Todd
Legal Assistant

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#17 / IDS
3-2843
2/28/03

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.97(d)

Dear Sir:

The Applicants wish to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO-1449 together with copies of patents, publications or other information of which applicant is aware, which the Applicants believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. A copy of the PCT Search Report is also enclosed, for the Examiner's convenience. These references are being submitted pursuant to 37 C.F.R. §1.97(d), after the mailing of a final action but before payment of the issue fee.

A statement pursuant to 37 C.F.R. §1.97(e) is enclosed herewith. Please charge the \$180.00 filing fee in accordance with 37 C.F.R. §1.17(p), and any additional required amount or overpayment credits, to deposit account number 50-1065.

It is requested that the information disclosed herein be made of record in this application. If the Examiner has any questions regarding this IDS or any other matter related to this patent application, the Applicants request that the Examiner contact the Applicants' attorney, below.

Respectfully submitted,

Kay Houston
Attorney for Applicants
Reg. No. 38,495

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Commissioner for Patents
Washington, DC 20231

STATEMENT PURSUANT TO 37 C.F.R. §1.97(e)

Dear Sir:

The undersigned hereby certifies that each item of information contained in the enclosed Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully Submitted,

Kay Houston
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Reg. No.: 38,495

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